

Cover Sheet- (One Page Maximum)

Funder you are applying to: The St. Francis Foundation
Legal Name of Applicant Organization: Jodi House, Inc.

Contact Person & Title: Erin Kozaki, Development Director

Phone: (805) 563-2882x5 Fax: (805) 563-3982 Contact Person's Email: erin@jodihouse.org

Program Name/Capital Request: Clubhouse Day Program and Family & Community Support Program

Funds will pay for: Programming for brain injury survivors, their families and caregivers

Full Mailing Address: 625 Chapala Street, Santa Barbara, CA 93101

Location(s) if different from above: _____

Executive Director: Kenneth Freeland Email: Kenneth@jodihouse.org

Fax: (805) 563-3982 Phone: (805) 563-2882 x6

Website: www.jodihouse.org

Tax-exempt Status: {Most funders require 501(c)(3) status. Check this requirement before applying}

501(c)(3) Granted Other: _____ Tax ID Number: 95-3836137

Type of Request: Check with individual funders to determine the types of accepted grant requests.

Note: Check all that apply.

General Support Program Support Seed Funding Research
 Capital Endowment Multi-Year Collaborative

This Grant Request: \$25,000 Of Total Budget: \$145,142

Funds will be used from: July 2011 (date) To: January 2012(date)

Total Organizational Budget -Current Year: \$548,835 Agency fiscal year begins: July 1

Summarize the organization's mission statement (two to three sentences):
The mission of Jodi House is to provide supportive services, information, and programs in a nurturing environment to help brain injury survivors and their families recover and reconnect to their community.

Summarize your grant request (two to three sentences):
A \$25,000 grant from the St. Francis Foundation would provide significant program support for: 1) our *Clubhouse Day Program* that provides daily social and recreational activities to brain injury survivors (currently 117 active members) and respite support for their families and 2) our *Family & Community Support Program* that provides referrals, advice and support to family members and caregivers (372 individuals served since November 2009).

Proposal Authorization: We certify that the information in this application is to the best of our knowledge true and accurate and is submitted with our Board of Directors'/Governing Body's full knowledge and endorsement:

Monica Intaglietta, President, Board of Directors Board President Monica 1 APRIL 2011
Name of Authorized Board/Governing Body Representative Title Signature Date

Kenneth Freeland, Executive Director EXECUTIVE DIRECTOR Kenneth Freeland 1 APRIL 2011
Name of Lead Staff Member (or 2nd Representative) Title Signature Date

Proposal Narrative

Background

History and Accomplishments

Jodi House began in Santa Barbara, California in 1982 as a weekly support group for a handful of brain injury survivors who met in doctors' offices and living rooms. Twenty-eight years later, our mission remains the same: To provide the highest quality, socially-based programs in a safe, nurturing environment to help brain injury survivors and their families recover and reconnect.

Program Information & Family/Member Profile

Although our community is blessed with world class medical care, no amount of medical treatment can prepare a brain injury survivor or their family for the profound, debilitating social impacts resulting from a brain injury. Jodi House is the last link in the chain of recovery that begins with the 9-1-1 call, through the ER, hospital, and medical rehabilitation.

Our programs are based upon an extensive body of research showing that most survivors of severe brain injury experience the following:¹

- Decreased size of social network, and increased dependence on family for emotional support and recreation
- Significant reduction in friendships and social support
- Lack of social opportunities to make new friends
- Sharp decline in recreational activities
- Social isolation
- Negative impact on quality of life and emotional functioning

In fact, 50% of survivors have *limited, or no, social contacts* one year after their injury.² *Loneliness* is reported as the greatest difficulty.³ Moreover, this social isolation persists, or worsens, over time, despite emotional and personality improvements.⁴

Surprisingly, improvements in social integration are a greater predictor of quality of life for brain injury survivors and their families than improvements in physical or cognitive impairments, such as blindness, memory loss, and paralysis.⁵ Our programs enhance the quality of life of our members and their families by focusing on improving social integration.

The *Clubhouse* currently serves 117 active members, evenly split between men and women, and ranging in age from 21 to 89 years. Ninety-four percent live in Santa Barbara County, with the remainder commuting from Ventura and San Luis Obispo Counties. Although the majority are traumatic brain injury (TBI) survivors (60%), the program also serves stroke, aneurysm, and brain cancer survivors, since these conditions fall under the category of acquired brain injury, and there are no similar services available to these populations in Santa Barbara County.

¹ Struchen, M. (2006). *Filling in the gaps: The importance and challenges of measuring social communication abilities following traumatic brain injury*. Baylor College of Medicine.

² Wedell, R, et al. (1980). Social adjustment after rehabilitation: A two-year follow-up of patients with severe head injury. *Psychological Medicine*, 10, 257-263.

³ Thompson, IV. (1974). The patient with severe head injury and his family. *Scandinavian Journal of Rehabilitation Medicine*, 6, 180-183.

⁴ Lezak, M. (1987). Relationships between personality disorders, social disturbances, and physical disability following traumatic brain injury. *Journal of Head Trauma Rehabilitation*, 2(1), 57-69.

⁵ Struchen, *supra*.

Most Clubhouse members are low, or very low income (according to federal guidelines), and all are unemployed, with a poor prognosis for securing regular paid employment in the future. A few have supplemental income from legal or workers compensation settlements, and some receive support from family members. Unlike brain injury clubhouses in other states, such as New York, New Jersey, Virginia, and Florida, the state of California provides no financial support, either through Medicaid waivers or taxes; nor does private insurance pay for our category of service.

Organizational Relationships

Jodi House is a unique program in that it provides a social component for recovery from brain injury. The medical community (Cottage Health Systems, Sansum Clinic, and Solutions) provides a large number of referrals for our services.

Jodi House also maintains relationships with many other agencies and nonprofits, and receives referrals from, or makes referrals to, more than 100 social and medical services providers. Organizations that we work with at least weekly include Santa Barbara Independent Living Resource Center, Cottage Health System, Options Family of Services, Solutions, and Easy Lift. Through our relationships with other service providers, Jodi House is an integral partner in our community's continuum of care for brain injury survivors and their families.

Funding Request

1. Clubhouse Day Program Description

When brain injury survivors walk, or roll, through the front door of our *Clubhouse Day Program*, they instantly become part of a community of support – one that understands what they have lost, which accepts them as they are, and which shares their hope for a better life. It is designed for survivors seeking the next step in their journey of recovery.

The Clubhouse Model has been successfully adopted by brain injury organizations around the world for almost 70 years. Under this model, Jodi House has members, rather than patients or clients. Members play an active, integral role in each other's lives, and in the daily operation of the Clubhouse. Because brain injuries are chronic, lifetime disabilities, membership is for life. Because most of our members qualify as "very low income," membership is free. The Clubhouse is our core program.

Jodi House offers social and recreational activities that would otherwise be unavailable due to high cost and lack of insurance coverage. These activities include music and art therapy, adaptive yoga and movement, communication skills, computer lab, Spanish, and community outings.

Members pursue individual projects according to their interests and abilities. Projects have tangible outcomes that members can be proud of, which encourage others to expand their own interests and activity levels, and which enrich the Clubhouse community. Examples of recent projects include maintaining and monitoring a bird feeding station, feeding and tank cleaning for our goldfish mascot ("Finley"), and helping another nonprofit (People First, for people with developmental disabilities) get off the ground.

Our members watch out for, and encourage, one another when their pre-injury support system has all but evaporated. Peer-to-peer support groups have been the most popular and cherished activity at Jodi House for nearly 30 years.

Finally, caring for a loved one with a chronic, disabling brain injury forever changes the life of a family. Our program provides invaluable *respite* by providing a safe, nurturing environment for loved ones during the day, giving family and caregivers time for paid employment, rest and recreation, household chores, and caring for other family members.

As the spouse of a member who survived a near-fatal auto accident recently said: *"I can pay someone to teach my husband to walk, speak, or use the restroom. I can't pay someone to be his friend."*

Family & Community Support Program Description

Poor social integration resulting from brain injury outranks physical and cognitive changes in contributing to a family's care giving burden.⁶ In addition to the respite and peace of mind the Clubhouse provides, the *Family & Community Support Program* is a lifeline of information, referrals, advice, and support to family and caregivers. While the Clubhouse helps a smaller number of people with an intensive, long-term program, the Family and Community Support Program lets Jodi House extend its reach in a cost-effective manner to a large number of people beyond the four walls of our Clubhouse.

Staff is available by phone, email, or in person. Like the Clubhouse, this service is free of charge and available to all in need. Services are available on either a one time or ongoing basis, depending on need. Since the program's launch in November 2009, we have served 372 unique individuals, totaling more than 2,000 hours of service, to people from throughout Santa Barbara County, California, and the nation (we have even served people in Europe and the Caribbean).

In May 2010, Jodi House launched a peer support group for family and caregivers. In July 2010, we began a Spanish-language family and caregiver peer support group for this underserved segment of the brain injury community.

Program Goals & Outcomes

Clubhouse Day Program

- a) New members during the funding period will report the following improvements in social integration as a result of the program - an increase in: size of social networks; social opportunities to make new friends; participation in recreational activities; a decrease in the sense of loneliness and social isolation.
- b) Average daily attendance in the Clubhouse Program will increase by 10%.
- c) Total member count in the Clubhouse Program will increase by 10%.

Family & Community Support Program

- a) The number of individuals served by this program will increase by 10%.
- b) The number of hours of service by this program will increase by 10%.
- c) Jodi House will provide 5,000 hours of respite care for families and caregivers.

Evaluation

Clubhouse Day Program

- a) Improvements in Social Integration will be evaluated by administering a questionnaire to new members at the time of admission to the program, and again six months later.
- b) Increases in membership and attendance will be measured by data collected at the time of admission to the program, and in the form of daily attendance records, respectively.

⁶ Brooks & Aughton. (1979). Psychological consequences of blunt head injury. *Journal of Rehabilitation Medicine*, 1, 160-165.

Family & Community Support Program

- a) Individuals and hours served will be measured by data collected at the time of service.
- b) Respite care hours will be measured by data collected at the time of service.

Staff & Volunteer Profiles

The Clubhouse Day Program depends on intensive interaction between staff and members. The Family & Community Support Program requires on-demand staff availability for families in crisis. Jodi House staff has backgrounds in occupational therapy, psychology, social work, and law. Jodi House has also developed a significant volunteer and internship base through community outreach and partnerships with Westmont College, UCSB, Santa Barbara City College, Antioch University, Santa Barbara County Education's special education program, Dunn School, and Santa Ynez Valley High School.

St. Francis Foundation Request

Our total program budget is \$145,142. A \$25,000 grant from the St. Francis Foundation would provide significant support for our programs. Our revenue sources include individuals, corporations, foundations, and fundraisers. We are in the midst of a Campaign for Sustainability to create an endowment. We strive to have a diverse funding base in order not to become overly dependent on any single funding source.

Local philanthropist Jim Kearns has committed to match pledges, donations, and grants to Jodi House's Campaign for Sustainability, dollar-for-dollar, up to \$1.5 million. The goal of this *comprehensive* campaign is to support ongoing organization operations, while also building an endowment in order to make the organization truly sustainable.

As of March 18, 2011, the Campaign has raised \$259,000 (including \$100,000 from the Hutton Parker Foundation), which will be matched by Mr. Kearns, with a possible additional match of \$177,000 for in-kind donations related to the acquisition and remodel of our new facility.

Mr. Kearns will place his matching funds into an endowment for Jodi House. However, Jodi House has the flexibility to use other Campaign pledges, donations, and grants *either* for program support or for endowment, based on organizational needs. Jodi House intends to restrict use of St. Francis Foundation grant funds to program support as described in this application. Nevertheless, a grant to the Campaign will not only support our program, but also grow our endowment by an equal amount.

Additional Information – What our Members & their families say:

"You guys are my family." 30 year old member who survived hitting his head on a tree while leaning out the window of a moving vehicle

"I get such a great feeling when I'm at Jodi House. You've all welcomed my son with open arms – it gives me great hope for his future!" Mother of 21 year old member who nearly died on the field after sustaining a brain injury during a high school football game

"My husband absolutely loves coming to Jodi House. He is so much more outgoing now. And he's much more engaged with our family." Wife of 48 year old member who survived an aneurysm

Applicant name: Jodi House, Inc.

Program or Capital Budget

Note: This form is not required for general support grant requests.

Organization Name: Jodi House, Inc.

Program Name/Capital Request: Clubhouse Day Program and Family & Community Support Program

Budget dates for grant period: July 1, 2011 – June 30, 2012

NOTE: Typically, total program income equals pending income+ secured income.

INCOME

Possible categories: Government grants, foundation grants, individuals, business support, events, fees for service, etc.

Source	Total Program (\$)	Pending (\$)	Secured (\$)	Notes
Foundations	125,000.00	115,000.00	\$10,000.00	
Individuals	20,142.00	10,142.00	\$10,000.00	
TOTAL INCOME	145,142.00	125,142.00	20,000.00	

List the In-Kind (non-cash) contributions:

Jodi House does not currently pay rent at the Chapala facility. This has an estimated value of \$10,000/month.

EXPENSES

Possible categories: Salaries, professional fees, rent and utilities, travel, publicity/outreach, events, capital items, etc.

Item	Total Program (\$)	This Request (\$)	Notes
Salaries/Taxes/Benefits	99,372.00	24,000.00	
Supplies	9,000.00		
Instructors	17,250.00		
Outreach	500.00	500.00	
Photocopying	900.00		
Office Supplies	600.00		
Utilities	5,030.00		
Janitorial	3,000.00		
Gardening	1,050.00		
Security	1,000.00		
Travel	100.00		
Insurance	5,100.00		
Vehicle Operations	650.00		Accessible Van
Membership Dues	275.00		
Taxes	110.00		
Bank & Merchant Fees	705.00		
Staff Development	500.00	500.00	
TOTAL EXPENSES	145,142.00	25,000.00	

Applicant name: Jodi House, Inc.

Organization Financial Summary

Note: Check with each funder to see if this form is required.

Organization Name: Jodi House, Inc.

Fiscal Year Dates July 1, 2009 – June 30, 2010

INCOME

Possible categories: Government grants, foundation grants, individuals, business support, events, fees for service, etc.

Source	Prior Year's Actual (\$) [06/30/2010]	Projected Annual Budget (\$)	YTD Actual (\$) [02/28/2011]
Direct Contributions (Individual)	182,431.04	303,750.00	210,654.57
Foundations	115,000.00	175,300.00	100,300.00
Interest Income	313.57	10.00	11.05
Special Events	103,714.00	69,775.00	37,379.64
Donated Services	15.00		
Campaign Pledges	0.00		227,000.00
TOTAL INCOME	401,473.61	548,835.00	575,345.26

List the In-Kind (non-cash) contributions:

EXPENSES

Possible categories: Salaries, professional fees, rent and utilities, travel, publicity/outreach, events, etc.

Item	Prior Year's Actual (\$) [06/30/2010]	Annual Budget (\$)	YTD Actual (\$) [02/28/2011]
Salaries & Wages	261,603.09	302,888.00	188,877.16
Contract Services	89,624.33	15,625.00	4,236.98
Operations	14,584.31	11,554.00	5,726.59
Facilities & Equipment	28,795.76	11,637.00	6,338.14
Depreciation	1,071.39	7,775.00	5,181.76
Travel & Meetings	5.50	100.00	56.50
Program Expenses	9,593.71	26,750.00	13,145.38
Other Expenses	9,181.68	5,749.00	5,422.10
Business Expenses	843.56	835.00	406.40
Event Expenses	61,777.23	44,805.00	17,519.22
Campaign Expenses	N/A	121,125.00	39,606.74
TOTAL EXPENSE	477,080.56	548,835.00	288,567.53
NET PROFIT OR LOSS	(75,606.95)	0	288,777.73

Total Capital Expenses	\$	\$	\$
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i.e., computers, vehicles, building improvements, etc.

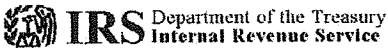
Explanatory notes:

Applicant name: Jodi House, Inc.

Organization Balance Sheet Summary

Note: Check with each funder to see if this form is required.

<i>ASSETS</i>	MOST CURRENT (\$) [02/28/11] (date)	PRIOR YEAR CLOSE (\$) [06/30/2010] (date)
Current Assets		
Cash and Equivalents	146,221.87	36,485.45
Accounts Receivable		
Prepaid Expenses	796.36	2,987.44
Inventory		
Grants/Pledges Receivable	181,800.00	0
Other		
Fixed Assets (Net)		
Property		
Buildings		
Equipment	13,177.80	18,359.56
Investments		
Endowments		
Other		
TOTAL ASSETS	341,996.00	57,832.45
LIABILITIES		
Current Liabilities		
Accounts Payable	-4,790.79	-176.64
Accrued Expenses		
Long Term Debt (Current Portion)		
Short Term Debt		
Other		
Long Term Debt (over a year)		
Loan		
Other		\$0.00
TOTAL LIABILITIES	-4,790.79	-176.64
Net Assets	346,786.82	58,009.09
Unrestricted		58,009.09
Temporarily Restricted		00.00
Permanently Restricted		\$0.00
TOTAL LIABILITIES AND NET ASSETS	341,996.03	57,832.45



OGDEN UT 84201-0038

In reply refer to: 0441679376
Aug. 02, 2010 LTR 4168C E0
95-3836137 000000 00

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BODC: NOBOD

JODI HOUSE INC
% KENNETH FREELAND
625 CHAPALA ST
SANTA BARBARA CA 93101

032223

Employer Identification Number: 95-3836137
Person to Contact: C Winn
Toll Free Telephone Number: 1-877-829-5500

Dear Taxpayer:

This is in response to your July 22, 2010, request for information regarding your tax-exempt status.

Our records indicate that your organization was recognized as exempt under section 501(c)(3) of the Internal Revenue Code in a determination letter issued in March 1983.

Our records also indicate that you are not a private foundation within the meaning of section 509(a) of the Code because you are described in section(s) 509(a)(1) and 170(b)(1)(A)(vi).

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

If you have any questions, please call us at the telephone number shown in the heading of this letter.

Sincerely yours,

Rita A. Leete
Accounts Management II